

Applicants or Patentees: Jay Rubinstein and Blake WilsonSerial or Patent No.: 09/023,279Attorney Docket No.: UIOWA-26Filed or Issued: February 13, 1998For: SPEECH PROCESSING SYSTEM AND METHOD USING PSEUDOSPONTANEOUS STIMULATION

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(b)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: UNIVERSITY OF IOWA RESEARCH FOUNDATIONADDRESS OF ORGANIZATION: 214 Technology Innovation Center, Oakdale Research Campus, Iowa City, Iowa 52242

TYPE OF ORGANIZATION

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) AND 501(C)(3))
☒ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
 NAME OF STATE AND CITATION OF STATUTE: Iowa, Chapter 504A Code of Iowa
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT, SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
 NAME OF STATE AND CITATION OF STATUTE: _____

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined under 37 C.F.R. 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled: SPEECH PROCESSING SYSTEM AND METHOD USING PSEUDOSPONTANEOUS STIMULATION by inventor(s): Jay Rubinstein and Blake Wilson described in

- ☐ the specification filed herewith
☒ application Serial No. 09/023,279, filed February 13, 1998
☐ Patent No. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern with would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to the status as small entities. (37 CFR 1.27)

- ☒ NO SUCH PERSONS OR ENTITIES

NAME: _____

ADDRESS: _____

- ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME: _____

ADDRESS: _____

- ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME: _____

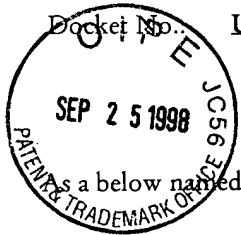
ADDRESS: _____

- ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any changes in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Dr. W. Bruce Wheaton, Ph.D.TITLE IN ORGANIZATION: Executive DirectorADDRESS OF PERSON SIGNING: 214 Technology Innovation Center, Oakdale Research Campus, Iowa City, Iowa 52242SIGNATURE: W. RubinsteinDATE: 9/14/98



Docket No. UIOWA-26

DECLARATION AND POWER OF ATTORNEY

I, as a below named inventor, I hereby declare that:

My residence, post office and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter claimed and for which a patent is sought on the invention entitled SPEECH PROCESSING SYSTEM AND METHOD USING PSEUDOSPONTANEOUS STIMULATION, the specification of which

[] is attached hereto [X] was filed on February 13, 1998 as Application Serial No. 09/023,279 and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known to me to be material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority or provisional application benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate, or provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate, or provisional application(s) having a filing date before that of the application on which priority is claimed:

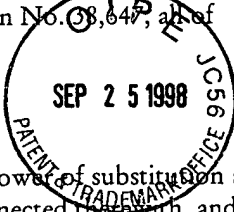
Prior Foreign Application(s) or U.S. Provisional Application(s):			Priority Claimed	
Number	Country	Day/Month/Year	Yes	No

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U. S. Application(s):		
Serial No.	Filing Date	Status: Patented, Pending, Abandoned
<u>09/023,278</u>	<u>February 13, 1998</u>	<u>Pending</u>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

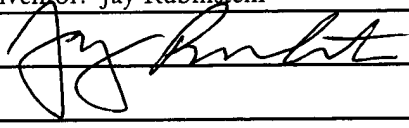
I hereby appoint the following attorney(s) and/or agent(s): Daniel Y.J. Kim, Registration No. 36,186 and Mark L. Fleshner, Registration No. 34,596; Carl R. Wesolowski, Registration No. 40,372, John C. Eisenhart, Registration No. 38,128, and Rene A. Vazquez, Registration No. 38,647, all of



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with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and all future correspondence should be addressed to them.

Full name of sole or first inventor: Jay Rubinstein

Inventor's signature: 

Date: 8/26/98

Residence: Solon, IA

Citizenship: U.S.A.

Post Office Address: 1654 Opie Avenue, Solon, IA 52333

Full name of joint inventor(s): Blake Wilson

Inventor's signature:

Date:

Residence: Durham, North Carolina

Citizenship: U.S.A.

Post Office Address: 2410 Wrightwood Avenue, North Carolina 27705

Full name of joint inventor(s):

Inventor's signature:

Date:

Residence:

Citizenship:

Post Office Address:

I hereby appoint the following attorney(s) and/or agent(s): Daniel Y.J. Kim, Registration No. 36,186 and Mark L. Fleshner, Registration No. 34,596; Carl R. Wesolowski, Registration No. 40,372, John C. Eisenhart, Registration No. 38,128, and Rene A. Vazquez, Registration No. 38,647, all of

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Full name of sole or first inventor: Jay Rubinstein

Inventor's signature:

Date:

Residence: Solon, IA

Citizenship: U.S.A.

Post Office Address: 1654 Opie Avenue, Solon, IA 52333

Full name of joint inventor(s): Blake Wilson

Inventor's signature:

Blake Wilson

Date:

9/16/98

Residence: Durham, North Carolina

Citizenship: U.S.A.

Post Office Address: 2410 Wrightwood Avenue, North Carolina 27705

Full name of joint inventor(s):

Inventor's signature:

Date:

Residence:

Citizenship:

Post Office Address: